



# **HIPAA**

## **Exam Questions HIO-201**

Certified HIPAA Professional

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#### NEW QUESTION 1

In an emergency treatment situation, a health care provider:

- A. Must obtain the signature of the patient before disclosing PHI to another provider.
- B. Must contact a relative of the patient before disclosing PHI to another provider.
- C. May use their best judgment in order to provide appropriate treatment.
- D. May use PHI but may not disclose it to another provider.
- E. Must inform the patient about the Notice of Privacy Practices before delivering treatment.

**Answer: C**

#### NEW QUESTION 2

The objective of this HIPAA security standard is to implement policies and procedures to prevent, detect, contain, and correct security violations.

- A. Security Incident Procedures
- B. Assigned Security Responsibility
- C. Security Management Process
- D. Access Control
- E. Facility Access Control

**Answer: C**

#### NEW QUESTION 3

Assigning a name and/or number for identifying and tracking users is required by which security rule implementation specification?

- A. Access Authentication
- B. Integrity Controls
- C. Authorization and/or Supervision
- D. Data Authentication
- E. Unique User Identification

**Answer: E**

#### NEW QUESTION 4

One implementation specification of the Security Management Process is:

- A. Risk Analysis
- B. Authorization and/or Supervision
- C. Termination Procedures
- D. Contingency Operations
- E. Encryption and Decryption

**Answer: A**

#### NEW QUESTION 5

Select the correct statement regarding the responsibilities of providers and payers under HIPAA's privacy rule.

- A. Optionally, they might develop a mechanism of accounting for all disclosures of PHI for purposes other than TPO.
- B. They must redesign their offices, workspaces, and storage systems to afford maximum protection to PHI from intentional and unintentional use and disclosure.
- C. They must develop methods for disclosing only the minimum amount of protected information necessary to accomplish any intended purpose
- D. They must obtain a "top secret" security clearance for all member of their workforce
- E. They must identify business associates that need to use PHI to accomplish their function and develop authorization forms to allow PHI to be shared with these business associates

**Answer: C**

#### NEW QUESTION 6

ANSI X12 specifies the use of a (an):

- A. Simple flat file structure for transactions.
- B. Envelope structure for transactions.
- C. Employer identifier.
- D. Health plan identifier
- E. Provider identifier.

**Answer: B**

#### NEW QUESTION 7

The best example of a party that would use the 835 - Health Care Claim Payment/Advice transaction is:

- A. HHS.
- B. A community health management information system.
- C. Health statistics collection agency.
- D. Government agency
- E. Insurance Company.

**Answer:** E

#### NEW QUESTION 8

Some of the information that an authorization must include is:

- A. The date on which any automatic extension occurs.
- B. Covered entity's signature.
- C. A statement that federal privacy laws still protect the information after it is disclosed.
- D. A statement that the individual has no right to revoke the authorization.
- E. The date signed.

**Answer:** E

#### NEW QUESTION 9

Security reminders, using an anti-virus program on workstations, keeping track of when users log-in and out, and password management are all part of:

- A. Security Incident Procedures
- B. Information Access Management
- C. Security Awareness and Training
- D. Workforce Security
- E. Security Management Process

**Answer:** C

#### NEW QUESTION 10

A hospital is preparing a file of treatment information for the state of California. This file is to be sent to external medical researchers. The hospital has removed SSN, name, phone and other information that specifically identifies an individual. However, there may still be data in the file that potentially could identify the individual. Can the hospital claim "safe harbor" and release the file to the researchers?

- A. Yes - the hospital's actions satisfy the "safe harbor" method of de-identification.
- B. No - a person with appropriate knowledge and experience must determine that the information that remains can't identify an individual.
- C. No - authorization to release the information is still required by HIPAA
- D. No - to satisfy "safe harbor" the hospital must also have no knowledge of a way to use the remaining data to identify an individual.
- E. Yes - medical researchers are covered entities and "research" is considered a part of "treatment" by HIPAA.

**Answer:** D

#### NEW QUESTION 10

HL7 is particularly involved with:

- A. NCPDP-based pharmacy standards
- B. The standard for pharmacy-health plan communication.
- C. Administering Medicare and Medicaid programs.
- D. Claims attachments.
- E. Publishing HIPAA Transactions-related Implementation Guides.

**Answer:** D

#### NEW QUESTION 14

Select the correct statement regarding the requirements of HIPAA regulations.

- A. A covered entity must have and apply sanction against members of its workforce who fail to comply with the privacy policies and procedures of the covered entity)
- B. A covered entity does not need to train all members of its workforce whose functions are affected by a change in policy or procedure.
- C. A covered entity must designate, and document, a privacy officer, and a HIPAA compliance officer
- D. A covered entity may require individuals to waive their rights.
- E. A covered entity must require the individual to sign the Notice of Privacy Practices prior to delivering any treatment related service.

**Answer:** A

#### NEW QUESTION 19

Which transaction covers information specific to accidents?

- A. Accident Report.
- B. First Report of Injury.
- C. Health Care Claim.
- D. Health Care Claim Payment/Advice.
- E. Premium Payment.

**Answer:** B

#### NEW QUESTION 23

This requires records of the movement of hardware and electronic media that contain PHI.

- A. Business Associate Contract

- B. Data Backup Plan
- C. Media Re-use
- D. Disposal
- E. Accountability

**Answer:** E

#### NEW QUESTION 26

The scope of the Privacy Rule includes:

- A. All Employers.
- B. The Washington Publishing Company
- C. Disclosure of non-identifiable demographics.
- D. Oral disclosure of PHI.
- E. The prevention of use of de-identified information.

**Answer:** D

#### NEW QUESTION 31

Select the correct statement regarding code sets and identifiers.

- A. The social security number has been selected as the National Health Identifier for individuals.
- B. The COT code set is maintained by the American Medical Association.
- C. Preferred Provider Organizations (PPO) are not covered by the definition of "health plan" for purposes of the National Health Plan Identifier
- D. HIPAA requires health plans to accept every valid code contained in the approved code sets
- E. An important objective of the Transaction Rule is to reduce the risk of security breaches through identifiers.

**Answer:** D

#### NEW QUESTION 32

The Security Rule requires that the covered entity identifies a security official who is responsible for the development and implementation of the policies and procedures. This is addressed under which security standard?:

- A. Security Incident Procedures
- B. Response and Reporting
- C. Assigned Security Responsibility
- D. Termination Procedures
- E. Facility Access Controls

**Answer:** C

#### NEW QUESTION 34

This HIPAA security area addresses the use of locks, keys and procedures used to control access to computer systems:

- A. Administrative Safeguards
- B. Physical Safeguards
- C. Technical Safeguards
- D. Audit Controls
- E. Information Access Management

**Answer:** B

#### NEW QUESTION 38

Which of the following is not one of the HIPAA Titles?:

- A. Title IX: Employer sponsored group health plans.
- B. Title III: Tax-related Health Provisions.
- C. Title II: Administrative Simplification.
- D. Title I: Health Care Insurance Access, Portability, and Renewability.
- E. Title V: Revenue Offsets.

**Answer:** A

#### NEW QUESTION 40

This code set describes drugs:

- A. ICD-9-C
- B. Volumes 1 and 2.
- C. CPT-4.
- D. CDT.
- E. ICD-9-C
- F. Volume 3.
- G. NDC.

**Answer:** E

#### NEW QUESTION 43

Select the correct statement regarding the "Minimum Necessary" standard in the HIPAA regulations.

- A. In some circumstances a covered entity is permitted, but not required, to rely on the judgment of the party requesting the disclosure as to the minimum amount of information necessary for the intended purpose.
- B. Some examples of these requesting parties are: another covered entity or a public official.
- C. The privacy rule prohibits use, disclosure, or requests for an entire medical record.
- D. Non-Covered entities need to redesign their facility to meet the requirement for minimum necessary uses.
- E. The minimum necessary standard requires covered entities to prohibit maintenance of medical charts at bedside and to require that X-ray light boards be totally isolated.
- F. If there is a request for more than the minimum necessary PHI, the privacy rule requires a covered entity to deny the disclosure of information after recording the event in the individual's case file.

**Answer:** A

#### NEW QUESTION 45

ABC Hospital implements policies and procedures to ensure that all members of its workforce have appropriate access to electronic protected health information. These policies and procedures satisfy which HIPAA security standard?

- A. Security Management Process
- B. Facility Access Control
- C. Security Awareness and Training
- D. Workforce Security
- E. Security Management Process

**Answer:** D

#### NEW QUESTION 49

The Privacy Rule interacts with Federal and State laws by:

- A. Establishing an orderly hierarchy where HIPAA applies, then other Federal law, then State law.
- B. Defining privacy to be a national interest that is best protected by Federal law.
- C. Allowing State privacy laws to provide a cumulative effect lower than HIPAA.
- D. Mandating that Federal laws preempt State laws regarding privacy.
- E. Establishing a "floor" for privacy protection.

**Answer:** E

#### NEW QUESTION 52

This transaction supports multiple functions. These functions include: telling a bank to move money OR telling a bank to move money while sending remittance information:

- A. 277.
- B. 278.
- C. 271.
- D. 82.
- E. 270.

**Answer:** D

#### NEW QUESTION 53

Select the correct statement regarding the 834 - Benefit Enrollment and Maintenance transaction.

- A. It cannot be used to transfer enrollment information from a plan sponsor to a health care insurance company or other benefit provider.
- B. It can be used by a health insurance company to notify a plan sponsor that it has dropped one of its members.
- C. It cannot be used to enroll, update, or dis-enroll employees and dependents in a health plan.
- D. A sponsor can be an employer, insurance agency, association or government agency but unions are excluded from being plan sponsors.
- E. It can be used in either update or full replacement mode.

**Answer:** E

#### NEW QUESTION 57

This transaction, which is not a HIPAA standard, may be used as the first response when receiving a Health Care Claim (837):

- A. Eligibility (270/271).
- B. Premium Payment (820).
- C. Unsolicited Claim Status (277).
- D. Remittance Advice (835).
- E. Functional Acknowledgment (997).

**Answer:** E

#### NEW QUESTION 59

A covered entity must adopt policies and procedures governing disclosures of PHI that identify

- A. The types of financial information to be disclosed.
- B. The specific individuals or entities to which disclosure would be made.

- C. The types of persons who would receive PHI.
- D. The conditions that would not apply to disclosure of PHI
- E. The criteria for reviewing requests for routine disclosure of PHI.

**Answer:** C

#### NEW QUESTION 64

Select the correct statement regarding the Notice of Privacy Practices.

- A. The Notice must be signed before a State authorized notary
- B. Direct Treatment Providers must make a good faith effort to obtain patient's written acknowledgement of Notice of Privacy Practices.
- C. Organizations may not have a "layered" Notice - a short, summary Notice preceding the more detailed Notice.
- D. Authorization forms are mandatory for the Notice to be valid
- E. An individual must sign an authorization before a state authorized notary.

**Answer:** B

#### NEW QUESTION 66

Ensuring that physical access to electronic information systems and the facilities in which they are housed is limited, is addressed under which security rule standard?

- A. Security Management Process
- B. Transmission Security
- C. Person or Entity Authentication
- D. Facility Access Controls
- E. Information Access Management

**Answer:** D

#### NEW QUESTION 68

A key date in the transaction rule timeline is:

- A. October 16, 2003 - small health plans to begin testing without ASCA extension
- B. October 16, 2004 - full compliance deadline for small health plans
- C. April 16, 2004 - small health plans to begin testing with ASCA extension
- D. April 16, 2003 - deadline to begin testing with ASCA extension
- E. April 14, 2003; deadline to begin testing with the ASCA extension.

**Answer:** D

#### NEW QUESTION 70

Use or disclosure of Protected Health Information (PHI) for Treatment, Payment, and Health care Operations (TPO) is:

- A. Limited to the minimum necessary to accomplish the intended purpose.
- B. Left to the professional judgment and discretion of the requestor.
- C. Controlled totally by the requestor's pre-existing authorization document.
- D. Governed by industry "best practices" regarding use
- E. Left in force for eighteen (18) years.

**Answer:** A

#### NEW QUESTION 73

Under the Privacy Rule, an individual may request a covered provider to restrict routine use or disclosure beyond what exists in the provider's Notice of Privacy Practices. Upon that request, the provider.

- A. Must store the information in an encrypted format.
- B. May refuse the request but still offer treatment.
- C. Must comply within seventy-five (75) days.
- D. Must only transfer the information using the ASC X12 format specification.
- E. Can request binding arbitration.

**Answer:** B

#### NEW QUESTION 78

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